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# **Overestimation of Postpartum Depression Prevalence Based on a 5-item Version of the EPDS: Systematic Review and Individual Participant Data Meta-Analysis**

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## ABSTRACT

**Objective:** The Maternal Mental Health in Canada, 2018/2019 survey reported that 18% of 7,085 mothers who recently gave birth reported “feelings consistent with postpartum depression” based on scores  $\geq 7$  on a 5-item version of the Edinburgh Postpartum Depression Scale (EPDS-5). The EPDS-5 was designed as a screening questionnaire, not to classify disorders or estimate prevalence; the extent to which EPDS-5 results reflect depression prevalence is unknown. We investigated EPDS-5  $\geq 7$  performance relative to major depression prevalence based on a validated diagnostic interview, the Structured Clinical Interview for DSM (SCID).

**Methods:** We searched Medline, Medline In-Process & Other Non-Indexed Citations, PsycINFO, and the Web of Science Core Collection through June 2016 for studies with datasets with item response data to calculate EPDS-5 scores and that used the SCID to ascertain depression status. We conducted an individual participant data meta-analysis to estimate pooled percentage of EPDS-5  $\geq 7$ , pooled SCID major depression prevalence, and the pooled difference in prevalence.

**Results:** 3,958 participants from 19 primary studies were included. Pooled prevalence of SCID major depression was 9.2% (95% confidence interval [CI] 6.0% to 13.7%), pooled percentage of participants with EPDS-5  $\geq 7$  was 16.2% (95% CI 10.7% to 23.8%), and pooled difference was 8.0% (95% CI 2.9% to 13.2%). In the 19 included studies, mean and median ratios of EPDS-5 to SCID prevalence were 2.1 and 1.4 times.

**Conclusions:** Prevalence estimated based on EPDS-5  $\geq 7$  appears to be substantially higher than the prevalence of major depression. Validated diagnostic interviews should be used to establish prevalence.

**Keywords:** Epidemiology, Evidence-based Medicine, Obstetrics and Gynecology,  
Psychiatry, Statistics and Research Methods

Depression during pregnancy and the postpartum period is associated with negative implications for maternal health, child health, and families.<sup>1-3</sup> Accurate estimation of depression prevalence in this population is important for understanding disease burden, making informed decisions regarding health care resources, and investigating etiology and challenges associated with the condition. Systematic reviews have reported postpartum depression prevalence as approximately 7% based on Diagnostic and Statistical Manual (DSM) criteria.<sup>4,5</sup> A study of over 14,000 women in the United States found that 8% of women in pregnancy and 9% of women within 12 months postpartum met DSM-IV criteria for depression based on a diagnostic interview, compared to 8% among same-aged women.<sup>6</sup>

The Maternal Mental Health in Canada, 2018/2019 survey reported that 18% of 7,085 mothers who gave birth between 5 and 13 months prior reported “feelings consistent with postpartum depression”<sup>7</sup> based on scoring  $\geq 7$  on a 5-item version of the Edinburgh Postpartum Depression Scale (EPDS-5).<sup>8</sup> Self-report questionnaires, including the EPDS-5, include some symptoms used to diagnose depression, but they do not include all relevant symptoms, consideration of functional impairment, or information needed for differential diagnosis.<sup>9-11</sup>

Cutoff thresholds on screening tools are typically set to cast a wide net and identify people who may benefit from further evaluation, but not to determine whether diagnostic criteria are met or estimate prevalence.<sup>9-11</sup> Ascertainment of case status and prevalence estimation require the use of a validated diagnostic interview, such as the Structured Interview for DSM (SCID).<sup>12</sup> The 10-item EPDS is commonly researched. Less is known about the performance of the EPDS-5, which has been evaluated only in a single study of 56 women (9 depression cases). Knowledge about how it performs in a larger sample would greatly assist interpretation of Maternal Mental



Health in Canada results and inform recommendations about its use for describing disease burden.

The present study used data from an individual participant data meta-analysis (IPDMA) on EPDS depression screening tool accuracy to compare the proportion of women in pregnancy or postpartum with scores  $\geq 7$  on the EPDS-5 to prevalence of major depression based on the SCID.

## **METHODS**

This study was conducted with data accrued for an IPDMA on EPDS screening accuracy. The original IPDMA was registered (PROSPERO; CRD42015024785), and a protocol was published.<sup>13</sup> The present study was not included in the main EPDS IPDMA protocol. It was conducted using methods from a similar study of prevalence based on the full EPDS with the protocol uploaded to the Open Science Framework prior to initiating analyses (<https://osf.io/7gy6p/>).

### **Identification of Eligible Studies**

Datasets from articles in any language were eligible for the main IPDMA if (1) they included EPDS scores for women during pregnancy or within 12 months postpartum; (2) they included current Major Depressive Episode (MDE) or Major Depressive Disorder (MDD) classifications based on DSM<sup>14-16</sup> or International Classification of Diseases<sup>17</sup> criteria based on a validated semi-structured or fully structured interview; (3) the EPDS and interview were done within two weeks of each other; (4) participants were  $\geq 18$  years old and not recruited from school settings, since the database was originally accrued to assess screening accuracy among adults, and school-based screening may have different characteristics; and (5) participants were not recruited from psychiatric settings or because they were pre-identified as possibly having

depression. Datasets where not all participants were eligible were included if individual eligible participants could be identified.

In the present study we included only data from primary studies that based major depression diagnoses on the SCID.<sup>12</sup> It is intended for administration by a trained diagnostician, requires clinical judgment, and allows probes to be made to clarify responses. We only included studies that used the SCID because semi-structured interviews replicate diagnostic standards more closely than other types of interviews, and the SCID is by far the most commonly used semi-structured diagnostic interview for depression research.<sup>18-20</sup> Three previous analyses that used large IPDMA databases found that, compared to semi-structured interviews, fully structured interviews, designed for administration by lay interviewers, identified more participants with low-level depressive symptoms but fewer participants with high-level symptoms as depressed.<sup>18-20</sup> One brief fully structured interview, the Mini International Neuropsychiatric Interview, identified far more participants as being depressed across the symptom spectrum.<sup>18-20</sup> Additionally, we excluded datasets that provided only total EPDS scores without item scores. This is because item scores were needed to calculate EPDS-5 scores.

### **Data Sources, Search Strategy, and Study Selection**

We searched Medline, Medline In-Process & Other Non-Indexed Citations and PsycINFO via OvidSP, and the Web of Science Core Collection via ISI Web of Knowledge from inception to June 10, 2016. The search was designed by an experienced medical librarian and peer-reviewed (Appendix 1).<sup>21</sup> We reviewed reference lists from published reviews and queried collaborators to attempt to identify non-published studies. Search results were uploaded into RefWorks (RefWorks-COS, Bethesda, MD, USA), and, after duplicate removal, into DistillerSR (Evidence Partners, Ottawa, Canada) for managing the review process and data extraction.

Two investigators independently reviewed titles and abstracts, and if either deemed a study potentially eligible, full-text review was done by two investigators, independently. Any disagreements were resolved by consensus, with a third investigator consulted if necessary.

### **Data Contribution and Synthesis**

Authors of studies with eligible datasets were contacted and invited to contribute de-identified primary datasets. We emailed corresponding authors of eligible primary studies at least three times, with at least two weeks between each email. If there was not a response, we attempted phone contact and emailed co-authors.

For each contributed dataset, we attempted to verify that we could replicate published participant characteristics and screening accuracy results, and we resolved any discrepancies, consulting with the study investigators. The number of participants and cases from a primary study in the IPDMA dataset sometimes differed from numbers in published primary study reports for several reasons. First, for some primary studies, not all participants met inclusion criteria for our IPDMA. This occurred, for instance, if the period between administration of the EPDS and diagnostic interview was longer than two weeks for some participants. Second, some primary studies reported accuracy results for depression diagnoses broader than major depression, such as “any depressive disorder”, but our reference standard was major depression, which would have resulted in a different number of cases than published. Third, in some cases, when we compared published results with results from contributed datasets, there were discrepancies, and we used the corrected results.

For primary datasets that used sampling procedures that required weighting, we used the weights provided. This occurred, for instance, in studies where all participants with positive screens and a random subset of participants with negative screens received a diagnostic

interview. For studies where sampling should have been done, but weights were not available, we used inverse selection probabilities.

## **Statistical Analyses**

For each primary study, we calculated the prevalence of major depression based on the SCID, the percentage who scored  $\geq 7$  on the EPDS-5, the difference in prevalence between the two methods (EPDS-5  $\geq 7$  prevalence – SCID major depression prevalence), and the corresponding ratio. Then, across studies, we pooled (1) percentage with EPDS-5  $\geq 7$ , (2) prevalence of SCID major depression, and (3) the differences in prevalence from each study. We also determined the mean and median ratio for EPDS-5  $\geq 7$  versus SCID major depression prevalence.

All meta-analyses were conducted in R (R version 3.4.1; R Studio version 1.0.143) using the lme4 package. Given the clustered nature of the data, mixed-effects models were used. To estimate pooled prevalence values, generalized linear mixed-effects models with a logit link function were fit using the glmer function. The logit link accounts for the binary nature of the outcome (EPDS-5  $\geq 7$  vs  $< 7$ ; presence vs. absence of SCID major depression). To estimate the pooled difference value (fit continuously, given that differences could be positive or negative), a linear mixed-effects model was fit using the lmer function. In all analyses, to account for correlation between subjects within the same primary study (i.e., the clustering), random intercepts were fit for each primary study. To quantify heterogeneity, for each analysis, we (1) calculated  $\tau^2$ , which is the estimate of between-study variance, (2) calculated the  $I^2$  statistic, which quantifies the proportion of total variability due to between-study heterogeneity, and (3) estimated the 95% prediction interval for the difference in prevalence, which illustrates the range

of difference values that would be expected if a new study were to compare proportion with EPDS-5  $\geq 7$  to prevalence based on SCID.

In post-hoc analyses, we investigated whether differences in prevalence (EPDS-5  $\geq 7$  prevalence – SCID major depression prevalence) were associated with study and participant characteristics. To do this, we fit additional linear mixed-effects models for pooled prevalence difference, including age, pregnant versus postpartum status, country human development index (“very high”, “high”, or “low-medium”, based on the United Nation’s Human Development Index for the year of publication), and study sample size as fixed-effect covariates.

## **Ethical Approval**

Since this study involved analysis of previously collected de-identified data and because included studies were required to have obtained ethics approval and informed consent, the Research Ethics Committee of the Jewish General Hospital determined that ethics approval was not required.

## **RESULTS**

### **Search Results and Inclusion of Primary Study Datasets**

There were 3,417 unique citations identified, of which 3,097 were excluded after review of titles and abstracts and 212 after full-text review. The 108 remaining articles comprised data from 73 unique samples, of which 49 provided data for the main IPDMA; in addition, we were provided data from one unpublished study, which was subsequently published. For the present study, of the 50 study datasets in the main IPDMA, 21 were excluded because they used a diagnostic interview other than the SCID (19 fully structured interviews, 2 other semi-structured interviews), and 10 were excluded because item-level data to calculate EPDS-5 scores were not available. Thus, datasets from 19 studies were included with 3,958 participants (572 cases of

major depression; prevalence 14%). Figure 1 shows the search and dataset inclusion processes, and Table 1 shows the characteristics of each included study.<sup>22-40</sup>

### **Depression Prevalence Based on the SCID Versus EPDS-5 $\geq 7$**

The pooled prevalence of SCID major depression was 9.2% (95% confidence interval [CI]: 6.0% to 13.7%;  $\tau^2$ : 0.901;  $I^2$ : 94.4%). The pooled percentage of participants who scored  $\geq 7$  on the EPDS-5 was 16.2% (95% CI: 10.7% to 23.8%;  $\tau^2$ : 1.044;  $I^2$ : 94.6%). The pooled difference from each study was 8.0% (95% CI: 2.9% to 13.2%;  $\tau^2$ : 0.010;  $I^2$ : 93.7%; 95% prediction interval: -13.8% to 29.9%). In the 19 included primary studies, the mean and median ratios of proportion of EPDS-5  $\geq 7$  versus SCID prevalence were 2.1 and 1.4, respectively. See Table 1.

In post-hoc analyses, no study or participant characteristics were significantly associated with differences in prevalence, with the exception of age, for which a one-year increase in age was associated with a 0.4% (95% CI: 0.2% to 0.7%) decrease in “EPDS-5  $\geq 4$  minus SCID” prevalence.

## **DISCUSSION**

The Maternal Mental Health in Canada, 2018/2019 survey was conducted by Statistics Canada in collaboration with the Public Health Agency of Canada and Health Canada in order to address a pressing need for data on maternal mental health problems, including depression.<sup>7</sup> One previous study had suggested that the EPDS-5 with a cutoff of  $\geq 7$  could be used as a screening tool for depression, but it was based on only 9 cases and did not attempt to calibrate the tool to estimate prevalence. Results from the present analysis suggest that using a score of  $\geq 7$  on the EPDS-5 overestimates true prevalence by an absolute value of about 8% or approximately 1.4 to 2.0 times, depending on whether a mean or median ratio of EPDS-5 to SCID prevalence is used.

Despite the heterogeneity across studies in our IPDMA, it is safe to conclude that depression prevalence would be substantially overestimated by an EPDS-5 cutoff of  $\geq 7$ , although it is less easy to determine the amount of overestimation in any given study. This finding is similar to other studies that have found that estimates of prevalence derived from cutoff scores on screening scales used clinically to detect patients with possible depression vastly overestimate prevalence by diagnostic interview.<sup>10,11</sup>

The implication of using terminology such as “feelings consistent with post-partum depression,” as used in Maternal Mental Health in Canada, 2018/2019 survey is also important. Diagnostic or classification thresholds are set to identify individuals with a condition or level of impairment that warrants medical attention. Although women who score  $\geq 7$  on the EPDS-5 have symptoms that are on average more consistent with depression than those below that threshold, this does not necessarily mean that they have a diagnosis of depression or require treatment, making it very difficult to use the information generated, other than perhaps to compare symptom burden across other populations or samples using similar thresholds on the same scale.

The overestimation of prevalence may also have implications beyond assessing depression prevalence itself. For example, the Maternal Mental Health in Canada survey reported that 12% of women who were classified as depressed with EPDS  $\geq 7$  had experienced thoughts of harming themselves “sometimes” or “often” since the birth of their child. Since many more women were classified as depressed than would have met diagnostic criteria based on a validated interview, it is possible that the true proportion of women with major depression with thoughts of self-harm could be substantially greater than what was estimated. Misclassification not only affects our understanding of the frequency of a condition but also how we understand the experiences and challenges of those with the condition.

There are many examples of national surveys that have used validated diagnostic interviews to estimate depression prevalence. In Canada, the Canadian Community Health Survey – Mental Health used a version of the World Health Organization’s fully structured Composite International Diagnostic Interview (CIDI) to evaluate the prevalence of major depressive disorder with a sample of over 25,000 participants.<sup>41</sup> In the United States, the Epidemiologic Catchment Area Study used another fully structured interview, the Diagnostic Interview Schedule (DIS),<sup>42</sup> and the National Comorbidity Survey used the CIDI.<sup>43</sup> Large cross-national studies have similarly used the DIS<sup>44</sup> and the CIDI.<sup>45</sup> The use of validated diagnostic interviews requires substantial resources. Using alternative methods, such as the EPDS-5, which over-identify depression cases, however, makes it difficult to understand where needs are greatest, identify factors associated with onset of mental health problems, and find effective solutions. When resources are not available to properly identify cases, alternative research questions can be considered.

## **STRENGTHS AND LIMITATIONS**

An important strength of the present study is that it included data from 19 primary studies with almost 4,000 participants and almost 600 cases of major depression based on the SCID, a rigorous semi-structured diagnostic interview designed to classify psychiatric disorders, including major depression. We were able to directly compare the proportion of women with EPDS-5  $\geq 7$  and prevalence of major depression based on the SCID. A limitation was that included studies came from many different countries and reported different prevalence of major depression, although the pooled percentage of participants with EPDS-5  $\geq 7$  (16%) was similar to that of the Maternal Mental Health in Canada, 2018/2019 survey (18%). Another was that the search included studies only through June 2016. There was also considerable heterogeneity



across studies in the difference between prevalence estimated with EPDS-5  $\geq 7$  versus the SCID. Although age was statistically significantly associated with the difference between EPDS-5  $\geq 7$  prevalence and SCID major depression prevalence, a one-year difference was associated with only a 0.4% difference; given the general similarity in ages of pregnant and postpartum women, this would not explain the large differences we found.

Despite these limitations, there was robust evidence that the EPDS-5  $\geq 7$  generally overestimates depression prevalence, and that the magnitude of the overestimation appears to be clinically important.

## **CONCLUSIONS**

In summary, we found that using EPDS-5  $\geq 7$  to estimate depression overestimates the true prevalence of depression substantially. As such, while the 18% reported in the Maternal Mental Health in Canada, 2018/2019 survey reflects a certain burden of depressive symptomatology, policy-makers may not be able to use it as a benchmark for planning levels of specific services because many of those scoring 7 or above on a scale such as the EPDS-5 would not be diagnosed with major depressive disorder in a clinical interview. Postpartum depression is an important and burdensome condition, and as such, future surveys should use validated diagnostic interviews designed for diagnostic calibration to understand prevalence and provide more accurate data to use as a benchmark for policymakers to be able to act on need for service to improve outcomes for affected mothers and children.

**Contributors:** BDT, BL, AL, JBoeruff, PC, SG, JPAI, LAK, SBP, IS, RCZ, LC, NDM, MTonelli, SNV, and AB were responsible for the study conception and design. JBoeruff and LAK designed and conducted database searches to identify eligible studies. JBarnes, CTB, CB, FPF, BF, NH, LMH, JK, ZK, AAL, SNR, CQ, TJR, AS, RCS, MTadinac, SDT, IT, AT, TDT, KTrevillion, KTurner, JMVD contributed primary datasets that were included in this study. BDT, BL, AL, DN, ZN, YW, YS, CH, DBR, AK, PMB, MA, MJC, NS, KER, and MI contributed to data extraction and coding for the meta-analysis. BDT, BL, and AB contributed to the data analysis and interpretation. BDT, BL, AL, and AB contributed to drafting the manuscript. All authors provided a critical review and approved the final manuscript. BDT and AB are the guarantors; they had full access to all the data in the study and take responsibility for the integrity of the data and the accuracy of the data analyses.

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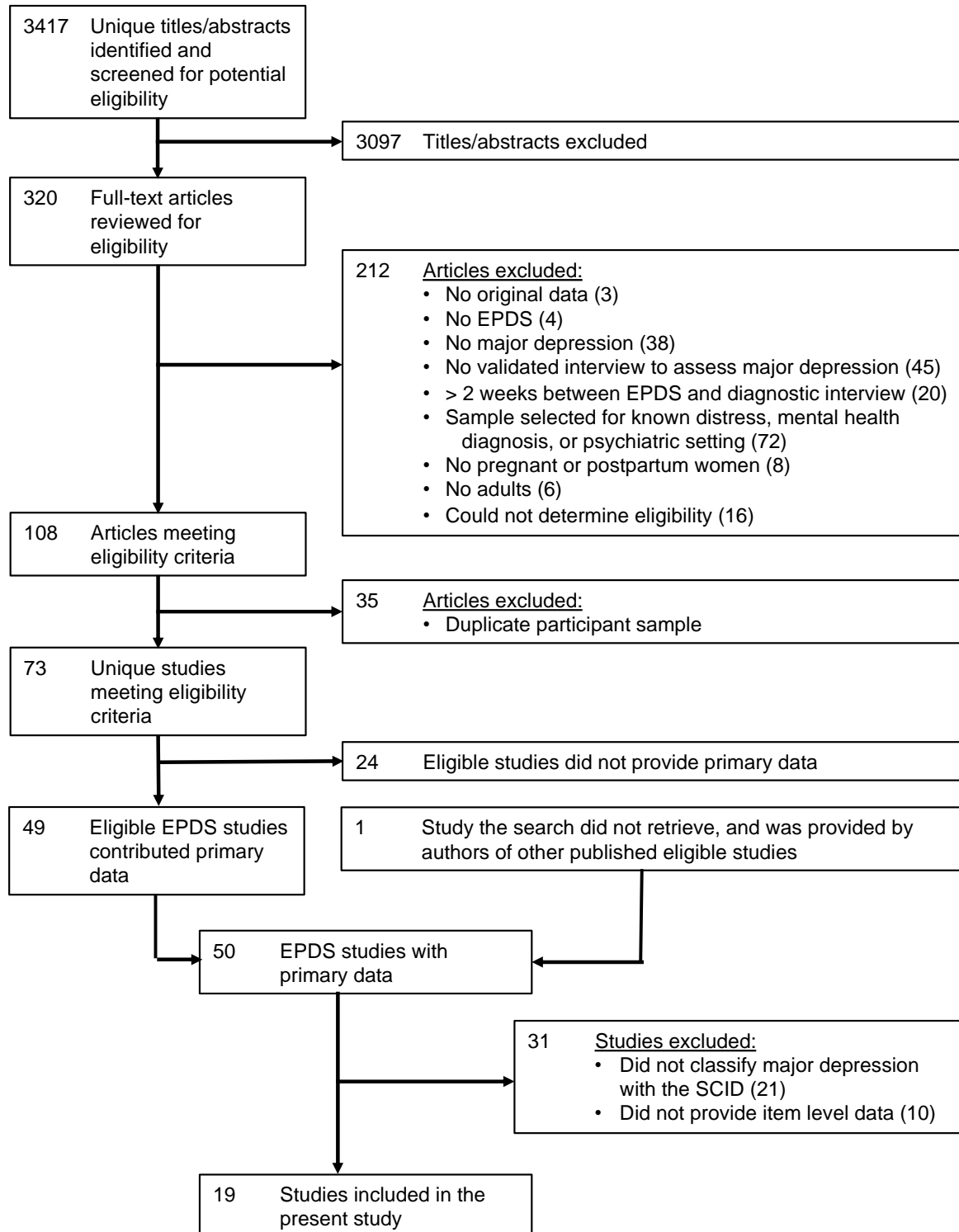
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**Figure 1.** Flow diagram of the study selection process



**Table 1. Difference between EPDS-5  $\geq 7$  prevalence and SCID prevalence for each included study**

Author, Year	Country	N Total	N (%) EPDS-5 $\geq 7$	N (%) SCID Major Depression	% Difference EPDS-5 $\geq 7$ – SCID Major Depression	Ratio: EPDS-5 $\geq 7$ / SCID Major Depression
Barnes, 2009 <sup>22</sup>	UK	347	71 (20.5)	25 (7.2)	13.3	2.8
Beck, 2001 <sup>23</sup>	USA	150	20 (13.3)	18 (12.0)	1.3	1.1
de Figueiredo, 2015 <sup>24a</sup>	Brazil	242	94 (27.5)	95 (29.6)	-2.1	0.9
Helle, 2015 <sup>25</sup>	Germany	225	42 (18.7)	12 (5.3)	13.3	3.5
Howard, 2018 <sup>26a</sup>	UK	532	173 (17.0)	130 (9.4)	7.6	1.8
Leonardou, 2009 <sup>27</sup>	Greece	81	13 (16.0)	4 (4.9)	11.1	3.3
Nakić Radoš, 2013 <sup>28</sup>	Croatia	272	32 (11.8)	10 (3.7)	8.1	3.2
Phillips, 2009 <sup>29</sup>	Australia	158	70 (44.3)	42 (26.6)	17.7	1.7
Prenoveau, 2013 <sup>30a</sup>	UK	220	51 (14.7)	20 (6.0)	8.7	2.5
Quispel, 2015 <sup>31</sup>	The Netherlands	31	0 (0.0)	0 (0.0)	0.0	-----
Rochat, 2013 <sup>32</sup>	South Africa	104	66 (63.5)	50 (48.1)	15.4	1.3
Stewart, 2013 <sup>33a</sup>	Malawi	186	46 (11.2)	34 (10.1)	1.1	1.1
Tandon, 2012 <sup>34</sup>	USA	89	34 (38.2)	25 (28.1)	10.1	1.4
Tendais, 2014 <sup>35a</sup>	Portugal	141	29 (10.9)	18 (7.6)	3.3	1.4
Tőreki, 2013 <sup>36</sup>	Hungary	219	6 (2.7)	7 (3.2)	-0.5	0.9
Tőreki, 201 <sup>37</sup>	Hungary	265	20 (7.5)	8 (3.0)	4.5	2.5
Tran, 2011 <sup>38</sup>	Vietnam	361	28 (7.8)	53 (14.7)	-6.9	0.5
Turner, 2009 <sup>39</sup>	Italy	29	2 (6.9)	2 (6.9)	0.0	1.0
Vega-Dienstmaier, 2002 <sup>40</sup>	Peru	306	148 (48.4)	19 (6.2)	42.2	7.8
<b>Pooled Results (with 95% confidence interval)</b>		3,958	9.2% (6.0% to 13.7%)	16.2% (10.7% to 23.8%)	8.0% (2.9% to 13.2%)	Mean = 2.1 Median = 1.4

<sup>a</sup>Sampling weights were applied. Counts are based on actual numbers whereas percentages are weighted

**Abbreviations:** EPDS: Edinburgh Postnatal Depression Scale; SCID: Structured Clinical Interview for DSM; UK: United Kingdom; USA: United States of America